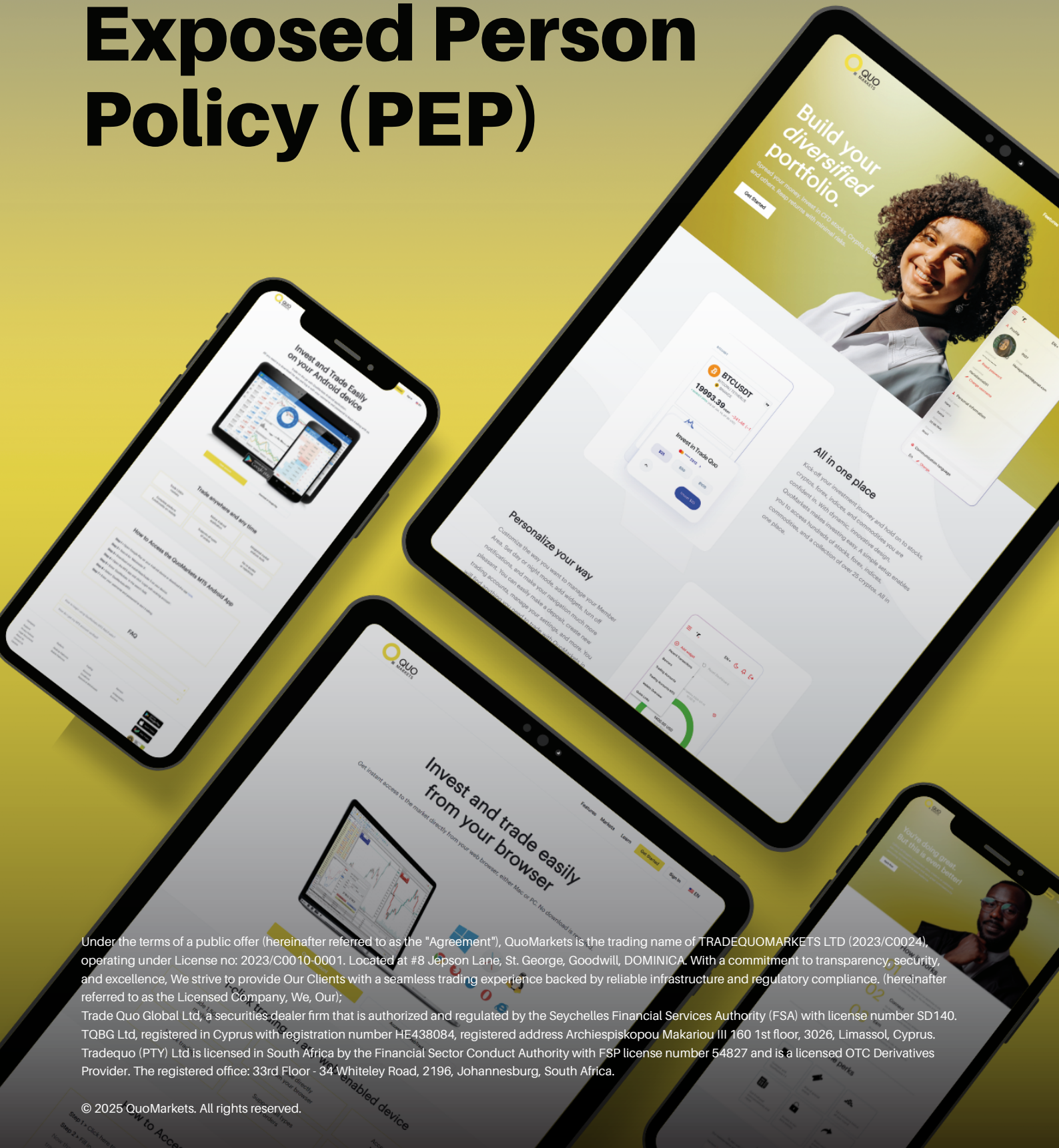




LEGAL DOCUMENTATION

# Politically Exposed Person Policy (PEP)



Under the terms of a public offer (hereinafter referred to as the "Agreement"), QuoMarkets is the trading name of TRADEQUOMARKETS LTD (2023/C0024), operating under License no: 2023/C0010-0001. Located at #8 Jepson Lane, St. George, Goodwill, DOMINICA. With a commitment to transparency, security, and excellence, We strive to provide Our Clients with a seamless trading experience backed by reliable infrastructure and regulatory compliance. (hereinafter referred to as the Licensed Company, We, Our);

Trade Quo Global Ltd, a securities dealer firm that is authorized and regulated by the Seychelles Financial Services Authority (FSA) with license number SD140. TQBG Ltd, registered in Cyprus with registration number HE438084, registered address Archiespiskopou Makariou III 160 1st floor, 3026, Limassol, Cyprus. Tradequo (PTY) Ltd is licensed in South Africa by the Financial Sector Conduct Authority with FSP license number 54827 and is a licensed OTC Derivatives Provider. The registered office: 33rd Floor - 34 Whiteley Road, 2196, Johannesburg, South Africa.

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## **POLITICALLY EXPOSED PERSON POLICY (PEP)**

### **1. INTRODUCTION**

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Pursuant to FATF Recommendation 10 and 12, the Company outlines the internal processes and measures taken in dealing with Politically Exposed Person (PEP).

Know Your Customer (KYC) refers to the due diligence activities that the Company must perform to provide services to you. KYC procedures are required to establish certain information about our customers for compliance with the relevant legislation and to ensure probity of the Company's Customers and their activities.

The Company is required to implement measures requiring financial institutions to have appropriate risk management systems in place to determine whether customers or beneficial owners are foreign PEPs, or related or connected to a foreign PEP. If they are, they are required to take additional measures beyond performing normal customer due diligence (CDD) (as defined in Recommendation 10) to determine if and when they are doing business with them.

For domestic PEPs and international organization PEPs, The Company takes reasonable measures to determine whether a customer or beneficial owner is one. The 2003 FATF Recommendations encouraged countries to extend the requirements to domestic PEPs. For higher risk business relationships with domestic PEPs and international organization PEPs, the Company takes additional measures consistent with those applicable to foreign PEPs.

The relationship between Recommendations 10 and 12, and the resulting requirements for determining if clients are foreign or domestic/international organization PEPs can be summarized in the following key measures.

## **2. KEY MEASURES**

There are some key measures that The Company will enforce in accordance to the PEP policy:

### **2.1 Primary Measures**

The Company exercises Client Self-Declarations. Before onboarding a Client, the Company requires the prospective Client to declare their PEP status (i.e. by disclosing present or former employment or principal occupation clearly recognizable as a PEP) as a means of helping to determine whether that prospective Client is a PEP.

To help prospective Clients better understand if they are indeed a PEP, the Company actively displays the definition of PEP and different elements pertaining to such definition.

### **2.2 Secondary Measures**

As an additional step, the Company utilizes publicly available search engines in order to prevent the scenario that the Client falsely declare their PEP status. Internet and media searches focus on sources that could be linked to the customer may assist in locating relevant information (for example, (social) media websites in the customer's country of origin). Internet searches can also assist in retrieving general relevant (country) information. For example, for a financial institution or DNFBP it is relevant to know which countries prohibit certain PEPs (such as elected officials) from maintaining bank accounts abroad.

### **2.3 Continuous Monitoring**

#### **Regular Review**

The Company conducts enhanced regular review to ensure Client CDD Information is up to date. For high-value account that has balances of more than USD 100,000 or equivalent; the Company will conduct special monitoring by means of phone calls to registered phone number. Existing clients sometimes become PEPs after they enter a business relationship, so it is essential that The Company monitors non-PEP accounts for a change in the PEP status, customer profile or account activity and update customer information. Such ongoing monitoring should be based on risk, consistent with FATF Recommendation 10.

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### **3. CONTACTS**

#### **3.1 Compliance Department**

- Email: [info@quomarkets.com](mailto:info@quomarkets.com)
- Please mark your email subject with "PEP".